



Patent Application

Attorney Docket No.10953-008-999

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re application of: Marais, Johannes L.

Attorney Docket No.: 10953-008-999

Application No.: 10/615,166

Examiner: Unknown

Filed: July 7, 2003

Group: 3627

Title: SYSTEMS AND METHODS FOR  
PRICING PRODUCTS

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Mail Stop: Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**November 4, 2004**

Dear Sirs:

**Certificate of Mailing**

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as First  
Class Mail to: Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450 on November 4, 2004

Signed: \_\_\_\_\_

Kang S. Lim

**INFORMATION DISCLOSURE STATEMENT FILED**

**UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)**

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the  
Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the  
documents are necessarily prior art with respect to the referenced application. Furthermore,  
citation of the above documents shall not be construed as a representation that a search has been  
made or an admission that the information cited herein is, or is considered to be, material to  
patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted  
under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.

\_\_\_ 37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period specified in C.F.R § 1.97(b), but is believed to be filed before the mailing date of either (i) a final action under § 1.113 or, (ii) a notice of allowance under § 1.311.

\_\_\_ A certification pursuant to 37 C.F.R. §1.97(e) or

\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198. The Commissioner is authorized to charge any additional fees that may be due or credit any overpayment to our Deposit Account No. 50-2766 (Order No. KL-VN-0302).

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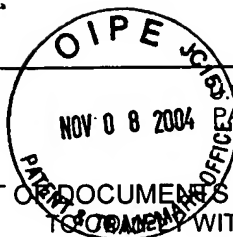
Respectfully submitted,



Kang S. Lim  
Attorney for Applicant(s)  
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**CUSTOMER NO. 36088**

\\KSL IDS d



U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

LIST OF DOCUMENTS CITED BY APPLICANT  
TO COMPLY WITH 37 C.F.R. 1.56

ATTY. DOCKET NO.  
10953-008-999

SERIAL NO.  
10/615,166

APPLICANT

Marais

FILING DATE  
07/07/03

GROUP  
3627

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,320,586	11/20/01	Plattner et al.			
	AB	5,740,448	04/14/98	Gentry et al.			
	AC	5,689,287	11/18/97	Mackinlay et al.			
	AD	5,670,984	09/23/97	Robertson et al.			

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	WO 99/60486	11/25/99	Koppelman et al.				

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	CA	Marn, Michael V. and Robert L. Rosiello, "Managing Price, Gaining Profit," Harvard Business Review, pp. 84-93 (September-October 1992).

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.